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#### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of

Andreas GRUHLE and Horst KIBBEL

Application No.: 10/736,469

Filed: December 15, 2003

For: PROCESS AND DEVICE FOR TESTING ELECTRIC MOTORS, IN

PARTICULAR FAN MOTORS, FOR FUNCTIONALITY

Attorney Docket No.: 3926.062

Customer Number: 000041288

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 and §1.98

### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Sir:

In accordance with the duty of disclosure under 37 C.F.R. \$1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the documents set forth below and listed on the attached Form PTO-1449, are provided herewith.

- 1. German Patent No. 693 27 066 T2
- 2. European Patent No. 0 552 991 B1
- 3. U.S. Patent No. 5,739,698

Documents 2 and 3 are in the English language. The relevancy of Document 1 is explained below.

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## Document 1

In a German Office Action, Document 1 was cited for teaching a device for testing the functionality of electric motors, including an antenna for receiving magnetic alternating fields, a unit for analysis of received signals for frequency components in the frequency range of the RPM values of the electric motors, and/or harmonics and an output unit for signaling functionality.

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The relevancy of Document 1 can be seen in Document 2, which is an English language patent family member of Document 1.

The present Information Disclosure Statement is being filed after three months from the application's filing date but before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedated or otherwise remove any listed document as a competent reference against the claims of the present application.

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Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

Stephan A. Pendorf Reg. No. 32, 665

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Dated: July 22, 2004

#### CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT Form PTO-1449, including three (3) documents, for U.S. Application No. 10/736,469 filed December 15, 2003, were deposited in first class U.S. mail, postage prepaid, P.O. Box 1450, Alexandria, VA 22313-1450, on **July 22, 2004**.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.

Stephan A. Pendorf

Docket Number (Optional) Application Number 3926.062 10/736,469 INFORMATION DISCLOSURE CUTA Applicant(s) Andreas GRUHLE and Horst KIBBEL (Use several sheets if necessary Filing Date **Group Art Unit** 12/15/2003 JUL 2 6 2004 . PATENT DOCUMENTS EXAMINER FILING DATE DOCUMENT NUMBER SUBCLASS NAME CLASS INITIAL IF APPROPRIATE 5,739,698 4/14/1998 Bowers et al. G01R 31/02 FOREIGN PATENT DOCUMENTS Translation REF DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO 693 27 066 T2 6/8/2000 G01R 31/34 Germany 1 0 552 991 B1 11/24/1999 Europe G01R 31/34 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. P09A/REV04 Form PTO-A820 Patent and Trademark Office \* U.S. DEPARTMENT OF COMMERCE